

ARIZONA CODE OF JUDICIAL ADMINISTRATION

Part 1: Judicial Branch Administration

Chapter 9: E-Services

Section 1-901: Electronic Filing (“E-filing”)

(New text shown in underline)

A. Definitions. In this section the following definitions apply:

“Administrative Director” means the administrative director of the Administrative Office of the Courts.

“Document” means any pleading, motion, exhibit (other than a courtroom exhibit), declaration, affidavit, memorandum, paper, order, notice, or any other filing, including attachments, submitted by a filer or by the court.

“E-filing” means the electronic filing of documents using an EFSP.

“Electronic Document Management System” or “EDMS” has the same meaning as provided in the Arizona Code of Judicial Administration § 1-506.

“Electronic Filing Service Provider” or “EFSP” means a private or public entity authorized by the administrative director to provide e-filing services.

“Electronic Service” means the method of formally providing e-filed documents to another party through the EFSP.

“Exhibit” unless the context requires otherwise, means an attachment to a document.

“Filer” means the individual who electronically submits case documents and information to the court through an EFSP.

“Judicial Officer” means a person within the court who is authorized to perform judicial functions in a particular case type.

“Justice Court Civil Case” means a civil case filed in a justice court and processed under the Justice Court Rules of Civil Procedure.

“Lead Document” means a document that requires its own file stamp.

“Mental health case” means a case filed under Title 36, Chapter 5.

“New Case Submission” means a submission to start a new case.

“Post-initiation Submission” means any submission for filing into a case that has previously been initiated in the court, either electronically or by paper.

B. Purpose and Applicability. This section authorizes and establishes the requirements for e-filing in the superior, justice, and municipal courts of Arizona. This section, and the Arizona Rules of Court where not inconsistent with this section, apply to all e-filed documents.

C. Authorization. E-filing in accordance with this section and as provided by Attachment A is authorized.

D. Mandatory E-filing. Except as provided by (E)(1), e-filing is mandatory for attorneys and legal paraprofessionals as provided by Attachment A.

E. Exceptions to Mandatory E-filing.

1. An attorney or legal paraprofessional, when working as an employee of an approved legal services organization and representing litigants who are unable to pay the application fee for filing a document using an EFSP, may file documents on paper.
2. The clerk must accept a paper filing where e-filing is otherwise mandatory if the filing requests relief that requires immediate judicial review and if e-filing the document would cause a delay in presenting the request to a judicial officer.
3. A judicial officer may initiate, permit, or consider ex parte communications with an attorney or legal paraprofessional requesting an exception to the e-filing requirement for the limited purpose of determining why an exception is necessary. Any such communication cannot involve the substance of the pending matter. Such ex parte communication is an exception to the prohibition against ex parte communication pursuant to Rule 81 of the Rules of the Supreme Court, Canon 2, Rule 2.9. If an exception is granted, a copy of the order granting the e-filing exception must be provided to the clerk at the time of filing the paper document.

F. Responsibility of Filer.

1. Generally. A person who e-files a document is responsible for all documents filed under the person's registered login ID and password and has the same responsibility as a person who files a paper document for ensuring that the document is properly filed, that it is complete and readable, and that a copy has been provided to the other parties in the case.
2. Authorized Filers. Attorneys, legal paraprofessionals, and non-party filers such as, but not limited to, arbitrators, process servers, and parenting coordinators, are responsible for all documents filed under the registered login ID and password of any person that the attorney, legal paraprofessional, or non-party filer has authorized to e-file documents on their behalf.
3. Self-Represented Litigants. Documents e-filed by a self-represented litigant must be filed under the self-represented litigant's registered login ID and password.

G. Fees.

1. Except as provided by (G)(2):
 - a. a non-refundable application fee shall be assessed to support the e-filing and document access services offered by an EFSP as follows:
 - (1) \$6.50 for all documents submitted in a new case submission;
 - (2) \$6.50 for each lead document submitted in a post-initiation submission; and
 - (3) \$3.80 if the filer elects to use electronic service.
 - b. a non-refundable 3% processing fee shall be assessed.
2. The fees in (G)(1) shall not be assessed:
 - a. to e-file a document submitted on behalf of a public entity or official identified in A.R.S. § 12-304;
 - b. at the time of submission if the filer submits with the lead document an application for a fee waiver or deferral; or
 - c. if the filer has been granted a fee waiver or deferral in the case.
3. All applicable filing, local court, application, and processing fees must be paid through the EFSP at the time of submission.
4. Unless a technical issue occurs, the application fee and its associated processing fee shall not be refunded.
5. A judicial officer shall not waive, reduce, or suspend application or processing fees.
6. The court shall not prohibit the e-filing of documents by a filer for whom a waiver or deferral has been granted or reject an e-filing submission on the basis of failure to pay fees for a party who has been granted a fee waiver or deferral.

H. Signatures. All e-filed documents must be signed in accordance with applicable court rules and must conform to such other signature requirements as the Supreme Court may require. A person may sign a document filed through the person's EFSP account by placing on the signature line the person's scanned signature or the person's typed name preceded by "/s/."

I. Format of Documents. All e-filed documents must be formatted in accordance with applicable court rules governing formatting of paper documents and must conform to such other format requirements as the Supreme Court may require.

J. File Type and Size.

1. File Type. An e-filed document that contains text, other than a scanned document image, must be in a text-searchable .pdf, .odt, or .docx format. A text-searchable .pdf format is preferred. A proposed order must be in a form that permits it to be modified, such as .odt or .docx format or other format permitted by Administrative Order, and cannot be password protected.

2. File Size. A document exceeding the file size limits allowed by the court's electronic filing portal may be broken up into multiple files to accommodate such a limit.

K. Format of Exhibits and Attachments.

1. Generally. An exhibit or an attachment to an e-filed document may be e-filed if it is attached to the same submission as either a scanned image or an electronic copy using an approved file type and format.
2. Official Records. A scanned copy of an official record may be e-filed if it contains an official seal of authority or its equivalent.
3. Notarized Documents. A notarized document or a scanned copy of a notarized document may be e-filed if it contains the notarial officer's signature, a certificate as required by A.R.S. § 41-264, and stamp or seal, if applicable.
4. Certified Mail, Return Receipt. When establishing proof of service by a form of mail that requires a signed and returned receipt, the return receipt or a scanned copy of the return receipt may be e-filed. If the return receipt is a card, it may be e-filed if both sides of the return receipt card are scanned and filed.
5. National Courier Service. When establishing proof of service by a national courier service, the receipt or a scanned copy of the receipt for such service may be e-filed.

L. Bookmarks and Hyperlinks.

1. Bookmarks. A bookmark is a linked reference to another page within the same document. An e-filed document may include bookmarks. A document that is incapable of bookmarking may be made accessible by a hyperlink. Bookmarks are encouraged.
2. Hyperlinks. A hyperlink is an electronic link in a document to another document or to a website. An e-filed document may include hyperlinks. Material that is not in the official court record does not become part of the official record merely because it is made accessible by a hyperlink. Hyperlinks are encouraged.

M. Copy for Court. Except as authorized by order of the county's presiding judge of the superior court, a judicial officer shall not require a filer to provide a paper copy of any document submitted through an EFSP. If required by the receiving court, a filer must identify individual documents necessary for a judicial ruling.

N. Confidential Documents. A filer must ensure that any e-filed document that is confidential pursuant to the Arizona Rules of Court is properly marked as confidential. The clerk is not required to review a document not marked as confidential to determine whether it is a confidential document.

O. Originals.

1. An e-filed document or a scanned copy of a document constitutes an “original” under Rule 1002, Arizona Rules of Evidence.
2. Any court rule requiring that a document be an original, be on paper or another tangible medium, or be in writing, is satisfied by the electronic image defined as the original document in (O)(1) and P.

P. Official Record. An electronic transmission or print-out from the court’s EDMS that shows the seal of the court attesting to the document’s authenticity shall be considered an official record or certified copy of the original.

Q. Deficiencies in Submissions. If an electronically submitted document is determined to be deficient, the clerk may reject the submission. If a submission is rejected because of a deficiency, the clerk must promptly provide the filer with an explanation for the rejection.

R. Effective Date of Filing. An electronically filed document is filed on the date and time the clerk receives it. Unless the clerk later rejects the document based on a deficiency, the date and time shown on the email notification from the court's electronic filing portal or as displayed within the portal is the effective date of filing.

S. Late Filings and Extensions of Time Due to Interruption in Service.

1. If a filer fails to meet a filing deadline imposed by court order, rule, or statute because of a failure at any point in the electronic transmission and receipt of a document, and the matter cannot be resolved to the satisfaction of the filer and the clerk, the filer may file the document on paper or electronically as soon thereafter as practicable and accompany the filing with a motion to accept the document as timely filed. For good cause shown, the court may enter an order permitting the document to be filed *nunc pro tunc* to the date the filer originally sought to transmit the document electronically. When an interruption of service is caused solely by a technical malfunction of the court’s or EFSP’s automation system, the court may, sua sponte, enter an order documenting the interruption and allowing an alternative manner of filing or extension of filing deadlines.
2. A court is not liable for malfunction or errors occurring in electronic transmission or receipt of e-filed documents.

T. Clerk Review.

1. Generally. Absent extraordinary circumstances, clerks must review and process non-eviction and non-emergency submissions within three business days of submission.
2. Eviction Actions and Emergency Submissions.
 - a. Submissions During Regular Court Hours. Absent extraordinary circumstances,

eviction action complaints and emergency submissions submitted through an EFSP during regular court hours must be reviewed and processed by the clerk the same day they are submitted.

b. Submissions Outside Regular Court Hours.

(1) An emergency submission submitted outside of regular court hours takes priority and must be reviewed and processed by the clerk as soon as possible the next business day.

(2) The calculation for the timeframe to set the initial hearing for eviction action complaints submitted through an EFSP outside of regular court hours begins the next business day.

U. Cover Sheets. A new case submission for a civil action or family law matter is not required to have a cover sheet as otherwise required by Rule 8(g), Rules of Civil Procedure, Rule 25(e), Rules of Family Court Procedure, or local rule.

V. Distribution of Notices, Orders, and Other Documents. The clerk or court may electronically distribute notices, orders, and other documents to a party in any case in which the party has e-filed a document, or an attorney or legal paraprofessional in any case in which the attorney or legal paraprofessional has entered an appearance. The electronic delivery of documents by the court is complete upon transmission.

W. Additional e-Filing Policies. The presiding judge of the superior court in a county, in consultation with the administrative director, may establish additional policies not inconsistent with court rules or this section, to implement e-filing in that county. Any such policies must be posted online at <http://www.azcourts.gov/efilinginformation> and on the court's website.

X. Subsequent Service. Except for documents that must be served according to Rules 4, 4.1, or 4.2, Rules of Civil Procedure, Rules 40(f)(1) or 41, Rules of Family Law Procedure, or Rule 113, Justice Court Rules of Civil Procedure, transmitting documents through an EFSP is a permissible method of service if the recipient is an attorney of record in the action, in which event service is complete upon transmission.

Y. Electronic Signatures and Electronic Notarizations. The clerk must accept for filing any document that purports to have a signature, including documents that appear to be electronically signed or electronically notarized in accordance with applicable law. If the authenticity or sufficiency of an electronic signature or electronic notarization is disputed, a judicial officer will make a determination under applicable substantive law.

Attachment A

I. E-Filing Authorized. On an implementation schedule and in a manner determined by the administrative director, e-filing is authorized for:

A. Superior Court

1. Civil case initiation and post-initiation documents.
2. Family law case initiation and post-initiation documents.
3. Mental health case initiation and post-initiation documents.
4. Criminal post-initiation documents in all counties except Maricopa.
5. Tax case post-initiation documents in Maricopa County.
6. Probate post-initiation documents in counties other than Maricopa and Pima.
7. Juvenile delinquency post-initiation documents in counties other than Maricopa, Pima, and Yuma.

B. Justice Court

1. Justice court civil case initiation and post-initiation documents.
2. Eviction case initiation and post-initiation documents.
3. Small claims case initiation and post-initiation documents.

II. Mandatory E-filing. Except for excluded documents as set forth in III,

A. Attorneys are required to e-file:

1. Superior court civil case initiation and post-initiation documents.
2. Family law case initiation and post-initiation documents.
3. Superior court criminal post-initiation documents in all counties except Maricopa and Pima.
4. Tax case post-initiation documents in Maricopa County.

B. Legal paraprofessionals are required to e-file:

1. Family law case initiation and post-initiation documents.
2. Superior court criminal post-initiation documents in all counties except Maricopa and Pima.

C. Non-attorneys other than legal paraprofessionals are permitted, but are not required, to e-file any document for which e-filing is authorized.

III. Exclusions.

A. Generally. E-filing is not permitted for:

1. Any document that requires the signature and seal of the clerk or issuance by the court, if it is not generated by the EFSP, such as writs, including supporting documentation (e.g., application for writ of garnishment), summonses, letters in probate cases, warrants, and subpoenas except that self-prepared subpoenas may be filed in mental health cases in Maricopa County.
2. Any document in a sealed case.
3. Any document in a restricted case, with the exception of mental health cases.
4. Any document used to initiate a lower court appeal.
5. Documents and any portion of a document filed under seal, or a motion to file documents under seal. This does not include the sensitive data sheet filed at case initiation in a family law case.
6. Amicus briefs.

7. Any document intended to be used specifically as a hearing or trial exhibit.
8. Any document intended to initiate a new case due to a change of venue.

B. Superior Court Civil Cases. In superior court civil cases, e-filing is not permitted for:

1. In all counties other than Maricopa and Pima:

a. Any document in the following case types:

- i. Minor Abortion
- ii. Habeas Corpus
- iii. Corporate Power of Attorney
- iv. Delayed Birth Certificates (A.R.S. § 36-333.03)
- v. Restoration of Civil Rights

b. Case initiation documents in the following case types:

- i. Forfeiture
- ii. Eviction Action
- iii. Transcript of Judgment
- iv. Special Action
- v. Foreign Judgment
- vi. Lower Court Appeals
- vii. Eminent Domain
- viii. Petition for Injunction Against Harassment
- ix. Petition for Injunction Against Workplace Harassment

2. In Maricopa County:

a. Any document in the following case types:

- i. Small Tax, pursuant to A.R.S. § 12-172
- ii. Transcript of Judgment
- iii. Lower Court Appeals
- iv. Gila River General Stream Adjudication

b. Case initiation documents in the following case types:

- i. Regular Tax, pursuant to A.R.S. §§ 12-161 et seq., except cases filed as Small Tax cases
- ii. Forfeiture
- iii. Election Challenge
- iv. Eviction Action
- v. Special Action
- vi. Foreign or Tribal Court Judgment
- vii. Eminent Domain
- viii. Petition for Injunction Against Harassment
- ix. Petition for Injunction Against Workplace Harassment

c. Any document in the case if the case is assigned to the probate division.

3. In Pima County:

a. Any document in the following case types:

- i. Special Action
- ii. Transcript of Judgment
- iii. Name Change

- iv. Eviction Action
 - v. Minor Abortion
 - vi. Lower Court Appeals
 - vii. Habeas Corpus
 - viii. Corporate Power of Attorney
 - ix. Delayed Birth Certificate (A.R.S. § 36-333.03)
 - x. Restoration of Civil Rights
- b. Case initiation documents in the following case types:
- i. Forfeiture
 - ii. Foreign Judgment
 - iii. Petition for Injunction Against Harassment
 - iv. Petition for Injunction Against Workplace Harassment

C. Superior Court Criminal Cases. In superior court criminal cases, e-filing is not permitted for:

- 1. Documents identified in Rule 123(d)(2)(A), Rules of the Supreme Court.
- 2. A complaint, indictment or information.
- 3. Any document for which there is a fee associated with filing.
- 4. Documents regarding bonds (e.g., surety bonds).

D. Family Law Cases. In family law cases, e-filing is not permitted for:

- 1. Requests for a hearing, modification, dismissal, or any other documents relating to an Order of Protection proceeding.
- 2. Petitions for Conciliation filed in a pending matter.
- 3. Case initiation documents in the following case types:
 - a. Change of Venue
 - b. County Transfers (A.R.S. § 25-502)
 - c. Registration of Child Custody Determination (A.R.S. § 25-1055)
 - d. Enforcement of Physical Child Custody Determination
 - e. Order of Protection
 - f. Any case type initiated by a Petition for Conciliation
 - g. In Maricopa County only, any case initiated by a Summary Consent Petition and Decree (A.R.S. § 25-314.01)

E. Juvenile Delinquency Cases. In juvenile delinquency cases, e-filing is not permitted for:

- 1. Any document identified in Rule 123(d)(1)(C), Rules of the Supreme Court.
- 2. Any document used to initiate a juvenile delinquency case.
- 3. Documents regarding bonds (e.g., surety bonds).
- 4. Petitions filed pursuant to Rules 203 and 204, Rules of Procedure for the Juvenile Court.
- 5. Any document intended to be part of the social file as defined in Rule 215, Rules of Procedure for the Juvenile Court.

F. Probate cases. In probate cases, e-filing is not permitted for:

- 1. Wills.
- 2. Any document used to initiate a probate case.

G. Mental Health. In mental health cases, e-filing is not permitted for:

1. Any document filed by a person who is not authorized under Rule 123(d)(6), Rules of the Supreme Court, to access the case records.
2. Case initiation documents for the following:
 - a. Mental health tribal cases.
 - b. Mental health guilty except insane (GEI) cases.

H. Justice Court Civil, Eviction, and Small Claims Cases. In justice court civil, eviction, and small claims cases, e-filing is not permitted for:

1. Petitions for an order to show cause.
2. Requests for a judgment debtor's exam.
3. Any document in the following case types:
 - a. Abatement
 - b. Animal Seizure
 - c. Property Disposition, including weapons
 - d. Impoundment Hearings
 - e. Vicious Animal
 - f. Livestock Liens
 - g. Replevins